

INTERNAL AUDIT REPORT FOLLOW UP 2017-18.

1. EXECUTIVE SUMMARY

- 1.1 Internal Audit document the progress made by departmental management in implementing the actions agreed with Internal Audit. This report and attached appendices are the results from a review performed by Internal Audit for actions due to be implemented by 31 December 2017.
- 1.2 The process requires departmental Executive Directors assigning a 3rd tier officer to act as the sole contact for the follow up of agreed actions. The contact role involves updating both the Executive Directors and Internal Audit on progress with implementation of agreed actions.
- 1.3 Appendix 1 is a statistical summary of all agreed actions arising from Internal Audit reports by department. Detailed is the number of agreed actions due as at 31 December 2017, the number implemented, the number of agreed future actions and their status, e.g. on course etc.
- 1.4 Appendix 2 provides a summary as at 31 December 2017, of all delayed and rescheduled agreed actions from Internal Audit reports by department and service. Detailed is the report name along with the weakness identified, agreed management action, revised date, any previous implementation dates reported to the Audit & Scrutiny Committee, management comment and Pyramid status.
- 1.5 The Education action in relation to the looked after children report, detailed in appendix 2, has been marked as superseded. A decision has been taken to close this action due to the named person legislation currently being on hold and new requirements associated with the General Data Protection Regulations leading to a change in the legislative environment resulting in a fundamental change to what would be required for compliance.

2 RECOMMENDATIONS

- 2.1 The Audit & Scrutiny Committee to review and endorse the content of this report.

3 CONCLUSION

- 3.1 Of the agreed actions due for completion by 31 December 2017, 20 have been completed. Internal Audit is satisfied with the status of the remaining two actions being delayed but rescheduled. Good progress is being made on the agreed actions due after 31 December 2017 with three being completed early. Further programmed testing of post follow-up actions will

be undertaken via the continuous monitoring programme.

4. IMPLICATIONS

4.1	Policy:	None
4.2	Financial:	None
4.3	Legal:	None
4.4	HR:	None
4.5	Equalities:	None
4.6	Risk:	Failure to implement agreed actions leads to financial, physical and reputational loss and adversely impacts organisational objectives.
4.7	Customer Service:	None

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